



#plymaudit



**Democratic Support**

Plymouth City Council  
Civic Centre  
Plymouth PL1 2AA

Please ask for Helen Rickman  
T 01752 398444  
E [helen.rickman@plymouth.gov.uk](mailto:helen.rickman@plymouth.gov.uk)  
[www.plymouth.gov.uk/democracy](http://www.plymouth.gov.uk/democracy)  
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## AUDIT COMMITTEE

Thursday 12 December 2013  
2.00 pm  
Warspite Room, Council House

**Members:**

Councillor Wheeler, Chair  
Councillor Dr. Mahony, Vice Chair  
Councillors Murphy, Stark and Stevens.

**Independent Members:**

Mrs Badger, Mr Clarke and Mr Stewart.

Members are invited to attend the above meeting to consider the items of business overleaf.

**Tracey Lee**

Chief Executive

# **AUDIT COMMITTEE**

## **AGENDA**

### **PART I – PUBLIC MEETING**

#### **1. APOLOGIES**

To receive apologies for non-attendance submitted by Committee Members.

#### **2. DECLARATIONS OF INTEREST**

Members will be asked to make any declarations of interest in respect of items on this Agenda.

#### **3. MINUTES (Pages 1 - 10)**

To confirm the minutes of the meeting held on 19 September 2013.

#### **4. CHAIR'S URGENT BUSINESS**

To receive reports on business which, in the opinion of the Chair, should be brought forward for urgent consideration.

#### **5. INTERNAL AUDIT ANNUAL REPORT (SIX MONTH PROGRESS REPORT) (Pages 11 - 38)**

The Council's internal auditor will submit the Internal Audit Annual Report (six month progress report).

#### **6. TREASURY MANAGEMENT MID YEAR REVIEW 2013/14 (Pages 39 - 62)**

The Committee will be provided with the Treasury Management and Mid-Year Review 2013/14.

#### **7. OPERATIONAL RISK AND OPPORTUNITY MANAGEMENT - UPDATE REPORT (Pages 63 - 68)**

The Head of Corporate Risk and Insurance will submit the Operational Risk and Opportunity Management update report.

#### **8. COVERT SURVEILLANCE RIPA (Pages 69 - 70)**

Members will be provided with the Covert Surveillance RIPA update for their information.

**9. AUDIT UPDATE - GRANT THORNTON (Pages 71 - 82)**

Grant Thornton will provide the Committee with a general update on audit progress.

**10. ANNUAL AUDIT LETTER 2012/2013 (Pages 83 - 98)**

Grant Thornton, the External Auditor, will submit the Annual Audit Letter 2012/13.

**11. CERTIFICATION REPORT 2012/13 (Pages 99 - 108)**

The Council's External Auditor, Grant Thornton, will submit the Certification Report 2012/13 for the Committee's consideration.

**12. REVIEW OF ROLLING WORKPLAN (Pages 109 - 112)**

The Committee will review the rolling workplan.

**13. DATE AND VENUE OF FUTURE AUDIT MEETINGS**

The following Audit Committee meetings have been scheduled for 2pm in the Council House:

- Thursday 13 March 2014

A Sub Committee has been scheduled for 23 January 2014 at 2pm to discuss Treasury Management.

**14. EXEMPT BUSINESS**

To consider passing a resolution under Section 100A(4) of the Local Government Act 1972 to exclude the press and public from the meeting for the following item(s) of business on the grounds that it (they) involve the likely disclosure of exempt information as defined in paragraph 3 of Part I of Schedule 12A of the Act, as amended by the Freedom of Information Act 2000.

**PART II (PRIVATE MEETING)**

**AGENDA**

**MEMBERS OF THE PUBLIC TO NOTE**

that under the law, the Panel is entitled to consider certain items in private. Members of the public will be asked to leave the meeting when such items are discussed.

NIL.